



Top Ten Regulatory/Compliance Issues

New York Coalition of Career Schools

Fall 2009 Convention



- 10 - Professional Growth Strategy**
- 09 - Academic Supervision**
- 08 - Advisory Boards**
- 07 - Feedback**
- 06 - Institution Plans**
- 05 - SAP**
- 03 - Catalog/E.A.**
- 03- Placement Rates**
- 02- Student Refunds**
- 01- Files**

Issue # 10

Professional Growth Strategy

Lack of In-Service Training and Professional Growth activities and/or documentation to provide evidence of these activities

Issue # 9 **Academic Supervision**

Inadequate supervision and evaluation of student performance during the lab and/or externship experiences of the program.

Issue # 8 **Advisory Boards**

Inadequate Advisory Board
representation, meetings and/or meeting
minutes

Issue # 7 **Feedback**

Student, graduate and/or employer feedback is not maintained and used to make any changes deemed necessary to program training.

Issue # 6 Institution Plans

Non-existent, incomplete or inactive
Institutional Effectiveness Plan (IEP) or
Institutional Improvement Plan (IIP)

Issue # 5 **SAP**

Satisfactory Academic Progress policy does not comply with all of the requirements of the accrediting agency.

Issue # 4 **Catalog/E.A.**

Catalog and/or Enrollment Agreement do not comply with all of the requirements of the State and/or the Accrediting Agency.

Issue # 3

Unverifiable placement rates

Issue # 2 **Student Refunds**

Proper process, procedure and
documentation

Issue # 1 Files

Student & faculty files do not comply with Accrediting Agency requirements.

“If IT is not documented, IT did not occur”



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